

# G-COMPACTNESS AND GROUPS

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ABSTRACT. Lascar described  $E_{KP}$  as a composition of  $E_L$  and the topological closure of  $E_L$  ([1]). We generalize this result to some other pairs of equivalence relations.

Motivated by an attempt to construct a new example of a non- $G$ -compact theory, we consider the following example. Assume  $G$  is a group definable in a structure  $M$ . We define a structure  $M'$  consisting of  $M$  and  $X$  as two sorts, where  $X$  is an affine copy of  $G$  and in  $M'$  we have the structure of  $M$  and the action of  $G$  on  $X$ . We prove that the Lascar group of  $M'$  is a semi-direct product of the Lascar group of  $M$  and  $G/G_L$ . We discuss the relationship between  $G$ -compactness of  $M$  and  $M'$ . This example may yield new examples of non- $G$ -compact theories.

## 1. INTRODUCTION

Let  $T$  be a complete theory in language  $L$ . We work within a monster model  $\mathfrak{C} \models T$ . A model  $M \models T$  is small if  $M \prec \mathfrak{C}$  and  $|M| = |T|$ . If  $X$  is a subset of a topological space, then by  $\text{int}(X)$  we denote its interior and by  $\text{cl}(X)$  its closure. We recall some well known facts about the Lascar Group and Lascar strong types (see [1, 9]). The group of Lascar strong automorphisms is defined by:

$$\text{Aut}_L(\mathfrak{C}) = \langle \text{Aut}(\mathfrak{C}/M) : M \text{ is a small model} \rangle,$$

and the *Lascar (Galois) group* of  $T$  by:

$$\text{Gal}_L(T) = \text{Aut}(\mathfrak{C}) / \text{Aut}_L(\mathfrak{C}).$$

This definition does not depend on the choice of the monster model  $\mathfrak{C}$  of  $T$  (it is enough that  $\mathfrak{C}$  is  $|T|^+$ -saturated and  $|T|^+$ -strongly homogeneous). We say that  $a, b \in \mathfrak{C}^k$  ( $k < |T|^+$ ) have the same Lascar strong type, and write  $E_L(a, b)$ , if there exists  $f \in \text{Aut}_L(\mathfrak{C})$  such that  $a = f(b)$ . Thus  $E_L$  is a  $\emptyset$ -invariant and bounded equivalence relation on every sort  $\mathfrak{C}^k$  (because if  $a \equiv_M b$  for some small  $M \prec \mathfrak{C}$ , then  $E_L(a, b)$ , so  $|\mathfrak{C}^k/E_L| \leq |S_k(M)| \leq 2^{|T|}$ ).

**Definition 1.1.** A symmetric formula  $\varphi(x, y) \in L_{k+k}(\emptyset)$  is *thick* if for some  $n < \omega$ , for every sequence  $(a_i)_{i < n}$  there exist  $i < j < n$  such that  $\varphi(a_i, a_j)$ . By  $\Theta$  we denote the conjunction of all thick formulas:

$$\Theta(x, y) = \bigwedge_{\varphi \text{ thick}} \varphi(x, y).$$

In the above definition we can equivalently take an infinite sequence  $(a_i)_{i < \omega}$ . If  $\varphi$  and  $\theta$  are thick, then  $\psi(x, y) = \varphi(y, x)$  and  $\varphi \wedge \theta$  are also thick (this follows from the Ramsey theorem).  $\Theta$  is a  $\emptyset$ -invariant relation (not necessarily an equivalence relation) and if  $\Theta(a_0, a_1)$ , then we can extend  $(a_0, a_1)$  to an order indiscernible sequence  $(a_i)_{i < \omega}$ .

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On the other hand if  $(a_i)_{i < \omega}$  is a 2-indiscernible sequence, then  $\varphi(a_0, a_1)$  for every thick  $\varphi$ .

**Lemma 1.2.** [9, Lemma 7]

- (i) If  $\Theta(a, b)$ , then there is a small  $M$  such that  $a \equiv_M b$ .
- (ii) If for some small  $M$  we have  $a \equiv_M b$ , then  $\Theta^2(a, b)$ , i.e. there is  $c$  such that  $\Theta(a, c) \wedge \Theta(c, b)$ .
- (iii)  $E_L$  is the transitive closure of  $\Theta$ .

If  $\pi$  is a type over  $\emptyset$ , then we can define thick formulas on  $\pi(\mathfrak{C})$  and their conjunction  $\Theta_\pi$  similarly as in the above definition. Moreover, the last remark also holds for  $\Theta_\pi$ , so  $E_L|_{\pi(\mathfrak{C})}$  is the transitive closure of  $\Theta_\pi$ . One can prove that  $E_L|_{\pi(\mathfrak{C})}$  is the finest bounded  $\emptyset$ -invariant equivalence relation on  $\pi(\mathfrak{C})$ .

There is a compact (not necessarily Hausdorff) topology on the group  $\text{Gal}_L(T)$ . Let  $M$  and  $N$  be arbitrary small models and let

$$S_M(N) = \{\text{tp}(M'/N) : \text{tp}(M') = \text{tp}(M)\}$$

be a closed subset of  $S_{|T|}(N)$ . Thus  $S_M(N)$  carries a compact subspace topology. The quotient map  $j: \text{Aut}(\mathfrak{C}) \rightarrow \text{Gal}_L(T)$  factors as  $j = \nu \circ \mu$ , where  $\mu: \text{Aut}(\mathfrak{C}) \rightarrow S_M(N)$  maps  $f$  to  $\text{tp}(f(M)/N)$ , and  $\nu: S_M(N) \rightarrow \text{Gal}_L(T)$  maps  $\text{tp}(f(M)/N)$  to an appropriate coset of  $\text{Autf}_L(\mathfrak{C})$ , so we have the following commutative diagram:

$$\begin{array}{ccc} \text{Aut}(\mathfrak{C}) & \xrightarrow{j=\nu \circ \mu} & \text{Gal}_L(T) = \text{Aut}(\mathfrak{C}) / \text{Autf}_L(\mathfrak{C}) \\ & \searrow \mu & \nearrow \nu \\ & S_M(N) & \end{array}$$

We can induce topology on  $\text{Gal}_L(T)$  from  $\nu$ , i.e.  $X \subseteq \text{Gal}_L(T)$  is closed if and only if its preimage  $\nu^{-1}[X]$  is closed in  $S_M(N)$ . It can be easily seen that this definition of topology does not depend on the choice of small models  $M$  and  $N$  ([9, Theorem 4]). With this topology  $\text{Gal}_L(T)$  becomes a compact topological group. We say that  $T$  is  $G$ -compact when  $\text{Gal}_L(T)$  is Hausdorff. If we consider  $\text{Aut}(\mathfrak{C})$  with the usual topology of pointwise convergence, then all the maps in the diagram are continuous. However  $\nu$  need not be open, instead  $\nu$  satisfies some weak kind of openness.

**Theorem 1.3.** [9, Lemma 12] For  $p \in S_M(N)$  define its  $\Theta$ -neighbourhood as:

$$[p]_\Theta = \{q \in S_M(N) : p(x) \cup q(y) \cup \Theta(x, y) \text{ is consistent}\}.$$

If we take an arbitrary point  $p \in S_M(N)$  and subset  $U \subseteq S_M(N)$  such that  $[p]_\Theta \subseteq \text{int}(U)$ , then  $\nu(p) \in \text{int}(\nu[U])$ .

The relation  $E_L$  is  $\emptyset$ -invariant, so we may consider  $E_L$  as a subset of  $S_{|T|+|T|}(\emptyset)$ . Using this, we define the relation  $\overline{E}_L$  as  $\text{cl}(E_L)$ .  $\overline{E}_L$  is  $\emptyset$ -invariant and contains  $E_L$ . There exists the finest bounded  $\wedge$ -definable over  $\emptyset$  equivalence relation, denoted by  $E_{KP}$  and known as equality of Kim-Pillay strong types (there is also an appropriate group of automorphisms  $\text{Autf}_{KP}(\mathfrak{C})$  such that  $E_{KP}(a, b)$  if and only if for some  $f \in \text{Autf}_{KP}(\mathfrak{C})$ ,  $a = f(b)$ ). The next theorem describes some relationship between  $E_{KP}$ ,  $\Theta$  and  $E_L$ .

**Theorem 1.4.** [1, Corollary 2.6]  $E_{KP} = \Theta \circ \overline{E_L}$

An attempt to understand the proof of this theorem was a starting point of this paper. In particular it was puzzling what properties of  $E_L$ ,  $E_{KP}$  and  $\Theta$  are responsible for the relationship described in Theorem 1.4. It turns out that the important point here is that both  $E_L$  and  $E_{KP}$  are orbit equivalence relations with respect to some groups of automorphisms of  $\mathfrak{C}$ . We elaborate on this in Section 2. We generalize Theorem 1.4 there and give a new proof of it based on Theorem 1.3. Also in Section 2 we generalize some results about Lascar, Kim-Pillay and Shelah strong types.

Section 3 contains a model-theoretic analysis of a structure  $N = (M, X, \cdot)$ , where  $M$  is a given structure and  $X$  is affine copy of some group  $G$  definable in  $M$ . We describe the group of automorphisms of  $N$  as a semi-direct product of  $G$  and the group of automorphisms of  $M$ . In particular we reduce the question of  $G$ -compactness of  $N$  to the question of  $\wedge$ -definability of certain subgroup  $G_L$  of  $G$ . This motivates us to look for examples of  $G$ , where  $G_L$  is not  $\wedge$ -definable.

In Section 4 we verify that  $G_L$  is  $\wedge$ -definable in several cases, e.g. when  $M$  is small or simple or  $\mathcal{o}$ -minimal and  $G$  is definable compact.

In Section 5 we provide an example where a subgroup of  $G$ , similar in some sense to  $G_L$ , is not  $\wedge$ -definable, and also an example of a group  $G$  that is not  $G$ -compact.

We assume that the reader is familiar with basic notions of model theory.

The results in Sections 2, 3 and 4 are due to the first author, the proof of Lemma 3.7(1) and the examples in Section 5 are due to the second author.

## 2. ORBIT EQUIVALENCE RELATIONS

In this section  $G$  is always a subgroup of  $\text{Aut}(\mathfrak{C})$ . We can consider the orbit equivalence relation  $E_G$  defined as follows:  $E_G(a, b)$  if and only if there is some  $f \in G$  with  $a = f(b)$ , where  $a$  and  $b$  are tuples of elements of  $\mathfrak{C}$  of length  $\leq |T|$ , such tuples are called small. In this paper we consider  $E_G$  as an equivalence relation on the sets of small tuples of elements of various sorts of  $\mathfrak{C}$ .

The results of this section are concerned with various properties of relations of the form  $E_G$ . Our motivation is based on the observation that almost all important equivalence relations in model theory (e.g.  $E_L$ ,  $E_{KP}$  and  $E_{Sh}$ ) are of this form.

Some statements from the next proposition are probably well known (see [1, 5, 7, 9]).

**Lemma 2.1.** (i) *Let  $M$  be an arbitrary small model, then*

$$G \cdot \text{Aut}(\mathfrak{C}/M) = \{f \in \text{Aut}(\mathfrak{C}) : E_G(M, f(M))\}.$$

(ii) *The relation  $E_G$  is  $\emptyset$ -invariant on every sort if and only if for every small  $M \prec \mathfrak{C}$  and every  $F \in \text{Aut}(\mathfrak{C})$*

$$G \subseteq G^F \cdot \text{Aut}(\mathfrak{C}/M).$$

*In particular if  $G$  contains  $\bigcup_{F \in \text{Aut}(\mathfrak{C})} \text{Aut}(\mathfrak{C}/F[M])$  for some small  $M$ , then  $E_G$  is  $\emptyset$ -invariant if and only if  $G \triangleleft \text{Aut}(\mathfrak{C})$ .*

(iii) *If  $G$  has bounded index in  $\text{Aut}(\mathfrak{C})$ , then  $E_G$  is bounded and  $E_L \subseteq E_G$ . If  $E_G$  is  $\emptyset$ -invariant bounded  $G \triangleleft \text{Aut}(\mathfrak{C})$  and  $G$  contains  $\text{Aut}(\mathfrak{C}/M)$  for some small  $M$ , then  $G$  has bounded index in  $\text{Aut}(\mathfrak{C})$ .*

(iv) *Let  $j : \text{Aut}(\mathfrak{C}) \longrightarrow \text{Gal}_L(T)$  be the quotient map and assume that  $\text{Aut}_L(\mathfrak{C}) \subseteq G$ .*

- (a)  $j[G]$  is closed in  $\text{Gal}_L(T)$  if and only if  $E_G$  is  $\wedge$ -definable over any small model. If  $G \triangleleft \text{Aut}(\mathfrak{C})$ , then  $\wedge$ -definability is over  $\emptyset$ .
- (b)  $j[G]$  is open in  $\text{Gal}_L(T)$  if and only if  $G = \text{Aut}(\mathfrak{C}/e)$  for some  $e \in \text{acl}^{\text{eq}}(\emptyset)$  (i.e.  $e = \overline{m}/F$  for some  $\emptyset$ -definable finite equivalence relation  $F$  on some  $\mathfrak{C}^n, n < \omega$ ).

*Proof.* (i) Easy.

(ii) Without loss of generality we may work with small models, because every tuple  $a$  may be extended to small model  $M$ . Take an arbitrary small  $M \prec \mathfrak{C}$ ,  $g \in G$  and  $F \in \text{Aut}(\mathfrak{C})$ . Then  $E_G(M, g(M))$ . Assume that  $E_G$  is  $\emptyset$ -invariant. Then  $E_G(F(M), F(g(M)))$  holds, so for some  $g' \in G$ ,  $F(g(M)) = g'(F(M))$ . Thus  $F^{-1} \circ g'^{-1} \circ F \circ g \in \text{Aut}(\mathfrak{C}/M)$ , so  $g \in g'^F \circ \text{Aut}(\mathfrak{C}/M) \subseteq G^F \circ \text{Aut}(\mathfrak{C}/M)$ . The other implication is similar.

For the second statement of (ii) assume that  $G \subseteq G^F \cdot \text{Aut}(\mathfrak{C}/M)$ . Then conjugating by  $F^{-1}$  we obtain

$$G^{F^{-1}} \subseteq G \cdot \text{Aut}(\mathfrak{C}/F[M]) = G,$$

for an appropriate small model  $M$ .

(iii) If  $G$  has bounded index in  $\text{Aut}(\mathfrak{C})$ , then there is a normal subgroup  $H \triangleleft \text{Aut}(\mathfrak{C})$  of bounded index, with  $H \subseteq G$  (an intersection of boundedly many conjugates of  $G$ ). Thus  $E_H$  is bounded and invariant, so  $E_L \subseteq E_H \subseteq E_G$ .

For the second statement we use (i) to conclude that  $G = \text{Aut}(\mathfrak{C}/\ulcorner M/E_G \urcorner)$ .  $G$  has bounded index, because  $M/E_G$  has boundedly many conjugates.

(iv) Note that  $j^{-1}[j[G]] = G \cdot \text{Aut}_L(\mathfrak{C}) = G$ , thus  $\mu[G] = \nu^{-1}[j[G]]$  (because  $j = \nu \circ \mu$ ).

(a)  $\Rightarrow$ : Let  $M$  be an arbitrary small model. If  $j[G]$  is closed in  $\text{Gal}_L(T)$ , then  $\mu[G] = \nu^{-1}[j[G]] = \{\text{tp}(M'/M) : \Phi(M', M)\}$  for some type  $\Phi(x, y)$  over  $\emptyset$ . We have that

$$E_G(a, b) \iff (\exists f \in \text{Aut}(\mathfrak{C})) (a = f(b) \wedge \Phi(f(M), M)),$$

and thus  $E_G$  is  $\wedge$ -definable over  $M$ :

$$E_G(a, b) \iff (\exists z)(\text{tp}(b, M) = \text{tp}(a, z) \wedge \Phi(z, M)).$$

$\Leftarrow$ : There is a type  $\Phi(x, y)$  over  $M$  such that

$$E_G(a, b) \iff \Phi(a, b).$$

Since  $\mu[G] = \nu^{-1}[j[G]]$  it is enough to prove that  $\mu[G]$  is closed in  $S_M(M)$ . This is clear, because:

$$\mu[G] = \{\text{tp}(g(M)/M) : g \in G\} = \{\text{tp}(M'/M) : \Phi(M', M)\}.$$

(b)  $\Rightarrow$ : First we deal with the case where  $G \triangleleft \text{Aut}(\mathfrak{C})$ . Since  $\text{Gal}_L(T)$  is a compact topological group,  $j[G]$  has finite index in  $\text{Gal}_L(T)$ , hence it is closed. By (iva)  $E_G$  is  $\emptyset$ - $\wedge$ -definable. Also  $G$  has finite index in  $\text{Aut}(\mathfrak{C})$ . It follows that  $E_G$  has finitely many classes on  $\text{tp}(M)(\mathfrak{C})$  (the set of realisations of type  $\text{tp}(M)$ ) and from (i) we have  $G = \text{Aut}(\mathfrak{C}/(M/E_G))$ . Hence there are a finite  $\emptyset$ -definable equivalence relation  $F$  and  $\overline{m} \subset M$  such that  $G = \text{Aut}(\mathfrak{C}/(\overline{m}/F))$ .

Now we deal with the general case, so  $G < \text{Aut}(\mathfrak{C})$  need not be normal. However, still  $G$  has finite index in  $\text{Aut}(\mathfrak{C})$ . Hence there is a normal subgroup  $H \triangleleft \text{Aut}(\mathfrak{C})$  contained in  $G$  and such that  $j[H]$  is open (an intersection of finitely many conjugates of  $G$ ). We may apply the first case to  $H$ . We get an  $e \in \text{acl}^{\text{eq}}(\emptyset)$  such that  $H = \text{Aut}(\mathfrak{C}/e)$ . An element  $e$  has finitely many conjugates, so  $e' = \ulcorner \{g \cdot e : g \in G\} \urcorner \in \text{acl}^{\text{eq}}(\emptyset)$ . Now it is obvious that  $G = \text{Aut}(\mathfrak{C}/e')$ .

$\Leftarrow$ : The subset  $\nu^{-1}[j[G]] = \mu[G] = \{\text{tp}(f(M)/M) : F(\overline{m}, f(\overline{m})), f \in \text{Aut}(\mathfrak{C})\}$  of  $S_M(M)$  is clopen.  $\square$

**Problem 2.2.** Consider an equivalence relation  $E$  on sorts of  $\mathfrak{C}$  which is  $\emptyset$ -invariant. Then we can build the following growing sequence of  $\emptyset$ -invariant relations:

- (i)  $E_0 = E$ ,
- (ii)  $E_1 = \text{cl}(E)$  in  $S_{k+k}(\emptyset)$ ,
- (iii) for  $1 \leq \alpha \in \text{Ord}$  let
  - $E_{\alpha+1} = \text{cl}(\text{transitive closure of } E_\alpha)$ ,
  - if  $\alpha \in \text{Lim}$ , then  $E_\alpha = \bigcup_{\lambda < \alpha} E_\lambda$ .

Take  $E_\infty = \bigcup_{\alpha \in \text{Ord}} E_\alpha$ . Then clearly  $E_\infty$  is the finest type definable equivalence relation which extends  $E$ , so we may ask the question: what is the first  $\alpha_E$  for which  $E_{\alpha_E} = E_\infty$ ? If  $E = E_G$ , where  $\text{Autf}_L(\mathfrak{C}) \subseteq G \triangleleft \text{Aut}(\mathfrak{C})$ , then from the next Theorem 2.3(ii) we conclude that  $\alpha_E \leq 2$ .

It can be proved that  $\text{Autf}_{\text{KP}}(\mathfrak{C}) = j^{-1}[\text{cl}(\text{id}_{\text{Gal}_L(T)})]$ . Recall that  $E_{\text{KP}} = E_{\text{Autf}_{\text{KP}}(\mathfrak{C})}$  is the finest bounded  $\wedge$ -definable over  $\emptyset$  equivalence relation. The next Theorem 2.3(i) generalizes this remark and Theorem 1.4 to an arbitrary group of automorphisms containing  $\text{Autf}_L(\mathfrak{C})$ .

**Theorem 2.3.** Let  $\text{Autf}_L(\mathfrak{C}) \subseteq G < \text{Aut}(\mathfrak{C})$  and consider  $\overline{G} = j^{-1}[\text{cl}(j[G])]$ . Then

- (i) On each sort of  $\mathfrak{C}$  the relation  $E_{\overline{G}}$  is the finest bounded  $\wedge$ -definable over any small model equivalence relation which extends  $E_G$ .
- (ii) If additionally  $G \triangleleft \text{Aut}(\mathfrak{C})$ , then

$$E_{\overline{G}} = \Theta \circ \overline{E_G},$$

where  $\overline{E_G}$  is  $\text{cl}(E_G)$  in  $S_{k+k}(\emptyset)$ .

*Proof.* (i) Let  $E$  be a  $\wedge$ -definable over  $M$  equivalence relation and  $E_G \subseteq E$ . Take an arbitrary  $f \in \overline{G}$  and a small tuple  $b$ . We have to prove that  $E(f(b), b)$ . Consider the following set

$$H = \{f \in \text{Aut}(\mathfrak{C}) : E(f(b), b)\}$$

( $H$  is not necessarily a group, because  $E$  is not necessarily  $\emptyset$ -invariant). It is enough to show that  $\overline{G} \subseteq H$ .

Note that  $j^{-1}[j[H]] = \text{Autf}_L(\mathfrak{C}) \cdot H = H$ , because for  $f \in \text{Autf}_L(\mathfrak{C})$ ,  $h \in H$  we have  $E(h(b), b)$  and  $E(f(h(b)), h(b))$  ( $E_L \subseteq E$ ), so  $E(f(h(b)), b)$  and  $f \circ h \in H$ .

Since  $E_G \subseteq E$  we have  $G \subseteq H$ , so we must only prove that  $\text{cl}(j[G]) \subseteq j[H]$  (because  $j^{-1}[j[H]] = H$ ). The proof is completed by showing that  $j[H]$  is closed in  $\text{Gal}_L(T)$ . This follows from the fact that the set

$$\nu^{-1}[j[H]] = \mu[H] = \{\text{tp}(f(M')/M') : E(f(b), b), f \in \text{Aut}(\mathfrak{C})\}$$

is closed in  $S_{M'}(M')$ , where  $Mb \subseteq M' \prec \mathfrak{C}$ .

(ii) The relation  $E_{\overline{G}}$  is  $\wedge$ -definable over  $\emptyset$ , so  $E_{\overline{G}}$  is a closed subset of  $S_{k+k}(\emptyset)$ , thus  $\overline{E_G} \subseteq E_{\overline{G}}$ . This gives  $\Theta \circ \overline{E_G} \subseteq E_{\overline{G}}$ .

Now we prove that  $E_{\overline{G}} \subseteq \Theta \circ \overline{E_G}$ . Assume that  $a, b$  are small tuples such that  $E_{\overline{G}}(a, b)$ , i.e.  $a = f(b)$  for some  $f \in \overline{G}$ . Without loss of generality we may assume that  $b = M$ , for

some small  $M \prec \mathfrak{C}$ , so  $a = f(M)$ . Let  $p = \mu(f) = \text{tp}(f(M)/M)$ . Then  $\nu(p) = j(f) \in \text{cl}(j[G])$  and

$$[p]_{\Theta} \cap \text{cl}(\nu^{-1}[j[G]]) \neq \emptyset,$$

because otherwise  $[p]_{\Theta} \subseteq \text{int}(\nu^{-1}[j[G]^c])$ , and from Theorem 1.3

$$\nu(p) \in \text{int}(\nu[\nu^{-1}[j[G]^c]]) = \text{int}(j[G]^c) = \text{cl}(j[G])^c,$$

a contradiction.

Let  $q \in [p]_{\Theta} \cap \text{cl}(\nu^{-1}[j[G]])$ . There is some  $c = M' \models q$  such that  $\Theta(f(M), M')$  and  $q = \text{tp}(M'/M)$  is in

$$\begin{aligned} \text{cl}(\nu^{-1}[j[G]]) &= \text{cl}(\mu[G]) = \text{cl}\{\text{tp}(g(M)/M) : g \in G\} \\ &= \text{cl}\{\text{tp}(g(M)/M) : E_G(g(M), M)\}. \end{aligned}$$

Finally  $\text{tp}(M', M) \in \text{cl}(E_G) = \overline{E_G}$ , and we obtain that  $\Theta(a, c)$  and  $\overline{E_G}(c, b)$ .  $\square$

Now we consider the relation  $E_{Sh}$  of equality of Shelah strong types:

$$E_{Sh} = \bigcap \{E : E \text{ is a } \emptyset\text{-definable finite equivalence relation}\}.$$

It can be proved that  $E_{Sh} = E_{j^{-1}[\text{QC}]}$ , where  $\text{QC} \triangleleft \text{Gal}_L(T)$  is the intersection of all open subgroups of  $\text{Gal}_L(T)$  (the quasi-connected component). When  $\text{Gal}_L(T)$  is Hausdorff (i.e.  $T$  is  $G$ -compact) then  $\text{QC}$  is just the connected component of  $\text{Gal}_L(T)$ .

In the next proposition we generalize this property of  $E_{Sh}$ , but first we need a definition: if  $A \subseteq \text{Gal}_L(T)$ , then by  $\text{QC}(A)$  we denote the following set

$$\bigcap \{H < \text{Gal}_L(T) : A \subseteq H \text{ and } H \text{ is open}\}.$$

**Proposition 2.4.** *If  $H < \text{Gal}_L(T)$ , then  $E_{j^{-1}[\text{QC}(H)]}$  is the intersection of all  $\emptyset$ -definable finite equivalence relations which extend  $E_{j^{-1}[H]}$ :*

$$E_{j^{-1}[\text{QC}(H)]} = \bigcap \{E : E \text{ is a } \emptyset\text{-definable finite e.r. and } E_{j^{-1}[H]} \subseteq E\}.$$

Moreover  $j^{-1}[\text{QC}(H)]$  is equal to the group of all  $f \in \text{Aut}(\mathfrak{C})$ , satisfying

$$E_{j^{-1}[\text{QC}(H)]}(a, f(a))$$

for arbitrary small tuple  $a$ .

*Proof.* First we prove the equality of relations. ( $\subseteq$ ) Assume that small tuples  $a, b$  are  $E_{j^{-1}[\text{QC}(H)]}$  equivalent, so  $a = f(b)$  for some  $f \in j^{-1}[\text{QC}(H)]$ , and  $E$  is a  $\emptyset$ -definable finite equivalence relation extending  $E_{j^{-1}[H]}$ . Define

$$G' = \{f \in \text{Aut}(\mathfrak{C}) : E(f(b), b)\} = \text{Aut}(\mathfrak{C}/(b/E)).$$

Then  $H \subseteq j[G']$  and  $j[G']$  is open as a subset of  $\text{Gal}_L(T)$  (Lemma 2.1(iv)(b)). Therefore  $\text{QC}(H) \subseteq j[G']$  and

$$f \in j^{-1}[\text{QC}(H)] \subseteq j^{-1}[j[G']] = G' \cdot \text{Aut}_L(\mathfrak{C}) = G',$$

so  $E(a, b)$  holds.

( $\supseteq$ ) Let  $\text{QC}(H) = \bigcap \{G_i : i \in I\}$ . Using Lemma 2.1(iv)(b) we can find  $(e_i)_{i \in I} \subseteq \text{acl}^{\text{eq}}(\emptyset)$  such that  $j^{-1}[G_i] = \text{Aut}(\mathfrak{C}/e_i)$ . Then  $j^{-1}[\text{QC}(H)] = \text{Aut}(\mathfrak{C}/\{e_i\}_{i \in I})$ . We can assume that  $e_i = m_i/F_i$  for some  $\emptyset$ -definable finite equivalence relations  $F_i$ . Assume that  $(a, b)$  belongs to

$$\bigcap \{E : E \text{ is a } \emptyset\text{-definable finite e.r. and } E_{j^{-1}[H]} \subseteq E\}.$$

We have to find  $f \in j^{-1}[\text{QC}(H)]$  for which  $b = f(a)$ . It suffices to prove that the following type in variables  $(y_i)_{i \in I}$  is consistent:

$$\text{“tp}(b, y_i)_{i \in I} = \text{tp}(a, m_i)_{i \in I}\text{”} \wedge \bigwedge_{i \in I} F_i(m_i, y_i).$$

Let  $\varphi(x, x_1, \dots, x_n) \in \text{tp}(a, m_i)_{i \in I}$ . It is enough to show that

$$\psi(b, m_1, \dots, m_n) = (\exists y_1, \dots, y_n) \left( \varphi(b, y_1, \dots, y_n) \wedge \bigwedge_{1 \leq i \leq n} F_i(m_i, y_i) \right)$$

holds. The formula  $\psi = \psi(x, m_1, \dots, m_n)$  is almost over  $\emptyset$  (because  $m_1, \dots, m_n \in \text{acl}(\emptyset)$ ). Let  $\psi_1, \dots, \psi_k$  be all conjugates of  $\psi$  over  $\emptyset$  and take

$$A(x, y) = \bigwedge_{1 \leq i \leq k} (\psi_i(x) \leftrightarrow \psi_i(y)).$$

$A$  is a  $\emptyset$ -definable finite equivalence relation and  $E_{j^{-1}[H]} \subseteq A$  (because  $j^{-1}[H] \subseteq j^{-1}[\text{QC}(H)] = \text{Aut}(\mathfrak{C}/(m_i/F_i))$ ). Therefore  $A(a, b)$  and we know that  $\psi(a, m_1, \dots, m_n)$  holds, so  $\psi(b, m_{\leq n})$  also holds.

Now we prove the second part of the proposition. Let  $G'$  be the group of all automorphisms preserving  $E_{j^{-1}[\text{QC}(H)]}$ . Inclusion  $j^{-1}[\text{QC}(H)] \subseteq G'$  is obvious.

( $\supseteq$ ) Let  $g \in G'$  and  $a = M$  be a small model. Then  $E_{j^{-1}[\text{QC}(H)]}(M, g(M))$ , so  $g(M) = f(M)$  for some  $f \in j^{-1}[\text{QC}(H)]$ . Thus  $j(g) = j(f)$  (because  $gf^{-1} \in \text{Aut}_L(\mathfrak{C})$ ) and  $j(f) \in \text{QC}(H)$ . Therefore  $g \in j^{-1}[\text{QC}(H)]$ .  $\square$

### 3. AN EXAMPLE

Let  $M$  be an arbitrary structure in which we have a  $\emptyset$ -definable (interpretable) group  $G$ . In this section we consider the following two sorted structure:  $N = (M, X, \cdot)$ , where

- $X$  and  $M$  are disjoint sorts,
- $\cdot: G \times X \rightarrow X$  is a regular (free and transitive) action of  $G$  on  $X$  i.e.  $X$  is an affine copy of  $G$ ,
- on  $M$  we take its original structure.

This structure was already considered e.g. in [9, 7]. Our study of  $N$  is based on ideas from [9, Section 7].

In this section we describe various groups of automorphisms of  $N$  in terms of appropriate groups of automorphisms of  $M$  and groups related to  $G$ . We also give a description of the relations  $E_L$ ,  $E_{KP}$  and  $E_{Sh}$  on the sort  $X$  of  $N$ . In particular, in Corollary 3.6 we prove that  $G$ -compactness of  $N$  is equivalent to  $G$ -compactness of  $M$  and  $\bigwedge$ -definability of certain subgroup  $G_L$  of  $G$ . Thus constructing a group  $G$  where the subgroup  $G_L$  is not  $\bigwedge$ -definable may yield a new example of a non- $G$ -compact theory.

Fix an arbitrary point  $x_0$  from  $X$  and take  $N^* = (M^*, X^*, \cdot)$ , a monster model extending  $N$ . Then  $G \subseteq G^*$  and  $X = G \cdot x_0 \subseteq G^* \cdot x_0 = X^*$ .

The group  $G^*$  acts on itself in two different, but commuting ways, the first one is by left translation  $(g, h) \mapsto gh$ , and the second one by the following rule  $(g, h) \mapsto hg^{-1}$ . We define homomorphic embeddings of automorphism groups:

$$\bar{\cdot}: \text{Aut}(M^*) \hookrightarrow \text{Aut}(N^*), \quad \bar{\cdot}: G^* \hookrightarrow \text{Aut}(N^*).$$

Let  $h \in G^*$ ,  $f \in \text{Aut}(M^*)$ ,  $g \in G^*$ . We define  $\bar{f}, \bar{g} \in \text{Aut}(N^*)$  by:

$$\begin{aligned}\bar{f}|_{M^*} &= f, \quad \bar{f}(h \cdot x_0) = f(h) \cdot x_0, \\ \bar{g}|_{M^*} &= \text{id}_{M^*}, \quad \bar{g}(h \cdot x_0) = (hg^{-1}) \cdot x_0.\end{aligned}$$

It is easy to verify the following laws: for  $f \in \text{Aut}(M^*)$ ,  $g \in G^*$  we have

$$\bar{f} \circ \bar{g} = \overline{f(g)} \circ \bar{f}, \quad \bar{g} \circ \bar{f} = \bar{f} \circ \overline{f^{-1}(g)}.$$

Using these embeddings we can identify  $\text{Aut}(M^*)$  and  $G^*$  with their images in  $\text{Aut}(N^*)$  and conclude that  $G^* \triangleleft \text{Aut}(N^*)$ . In fact we will prove that  $\text{Aut}(N^*)$  is a semi-direct product of  $G^*$  and  $\text{Aut}(M^*)$ .

There are two different actions of the group  $G^*$  on the set  $X^*$ : the first one comes from the above embedding

$$\bar{g}(h \cdot x_0) = (hg^{-1}) \cdot x_0$$

(it is definable over  $x_0$ ). The second one comes from the regular action

$$g \cdot (h \cdot x_0) = (gh) \cdot x_0.$$

If  $A \subseteq G^*$  satisfies  $hA^{-1} = Ah$ , then the orbits of  $h \cdot x_0$  under both actions coincide:  $\bar{A}(h \cdot x_0) = A \cdot (h \cdot x_0)$  (in this case we just write  $A \cdot (h \cdot x_0)$ ).

In order to describe properties of  $N^*$  in terms of  $M^*$  and  $G^*$  we need the next definition.

**Definition 3.1.** For a group  $G$  and a binary relation  $E$  on  $G$  we define the set of  $E$ -commutators  $X_E = \{a^{-1}b : a, b \in G, E(a, b)\}$  and the  $E$ -commutant  $G_E$  as the subgroup of  $G$  generated by  $X_E$

$$G_E = \langle X_E \rangle < G.$$

**Remark 3.2.** If  $E = E_H$  for some  $H < \text{Aut}(G, \cdot)$ , then  $G_{E_H} \triangleleft G$ . If  $E$  is  $\emptyset$ -invariant, then  $X_E$  and  $G_E$  are also  $\emptyset$ -invariant. If  $E$  is bounded, then  $G_E$  has bounded index in  $G$ , moreover  $[G : G_E] \leq |G/E|$ .

*Proof.* Let  $a, x \in G$  and  $h \in H$ . Then

$$(X_{E_H})^x \ni (a^{-1}h(a))^x = (ax)^{-1}h(ax) = ((ax)^{-1}h(ax))(h(x)^{-1}x) \in X_{E_H}^2.$$

The last statement follows from the observation: if  $a^{-1}b \notin G_E$ , then  $\neg E(a, b)$ .  $\square$

The following example justifies the names “ $E$ -commutators” and “ $E$ -commutant” from the previous definition. Let  $E$  be the conjugation relation in  $G$  i.e.  $E = E_{\text{Inn}(G)}$  (where  $\text{Inn}(G)$  is the group of inner automorphisms of  $G$ ). Then  $X_E$  is the set of all commutators and  $G_E = [G, G]$ .

In the case where  $E = E_L$  [ $E = E_{KP}, E_{Sh}$ , respectively] we just write  $X_L$  and  $G_L$  [ $X_{KP}, X_{Sh}$ ] instead of  $X_{E_L}$  and  $G_{E_L}$  [ $X_{E_{KP}}, X_{E_{Sh}}$ ]. Note that  $G_L$  is generated by  $X_\emptyset$ .

In the next proposition we describe  $\text{Aut}(N^*)$ ,  $\text{Aut}_L(N^*)$  and  $\text{Gal}_L(\text{Th}(N))$  as semi-direct products of automorphisms groups of  $M^*$  and appropriate groups associated with  $G$ .

**Proposition 3.3.** (1)  $\text{Aut}(N^*) = G^* \rtimes \text{Aut}(M^*)$ , more precisely: for  $F \in \text{Aut}(N^*)$ ,  $F = \bar{g} \circ \bar{f}$ , where  $f = F|_{M^*}$  and  $F(x_0) = g^{-1} \cdot x_0$ .

(2) Let  $(N', X') \prec (N^*, X^*)$  and  $X' = G' \cdot (h_0 \cdot x_0)$  for some  $h_0 \cdot x_0 \in X'$ . Then

$$F \in \text{Aut}(N^*/N') \iff (\exists f \in \text{Aut}(M^*/M')) \left( F = \bar{f}^{\overline{h_0}} \right).$$



(3)  $\text{Autf}_L(N^*) = G_L^* \rtimes \text{Autf}_L(M^*)$  and  $\text{Gal}_L(\text{Th}(N)) = G^*/G_L^* \rtimes \text{Gal}_L(\text{Th}(M))$ .

*Proof.* (1) Let  $F \in \text{Aut}(N^*)$  and  $f = F|_{M^*}$ . Then  $F\bar{f}^{-1}$  is the identity on  $M^*$ , and on  $X^* = G^* \cdot x_0$  we have:

$$F\bar{f}^{-1}(h \cdot x_0) = F(f^{-1}(h) \cdot x_0) = h \cdot F(x_0) = h \cdot (g^{-1} \cdot x_0) = \bar{g}(h \cdot x_0),$$

for some  $g \in G^*$ . Thus  $F = \bar{g} \circ \bar{f}$ . The group  $\text{Aut}(M^*)$  acts on  $G^*$  by conjugation, so for  $g \in G^*$  and  $f \in \text{Aut}(M^*)$ ,  $\bar{g}^f = \overline{f(g)} \in G^*$ . It is clear that  $G^* \cap \text{Aut}(M^*) = \{0\}$ .

(2) ( $\Leftarrow$ ) It is clear that  $F|_{M'} = \text{id}_{M'}$ . Using the fact that  $f|_{M'} = \text{id}_{M'}$  we get for  $h' \in X'$ :

$$\bar{f}^{\bar{h}_0}(h'h_0 \cdot x_0) = \overline{h_0^{-1}} \circ \bar{f}(h' \cdot x_0) = \overline{h_0^{-1}}(h' \cdot x_0) = h'h_0 \cdot x_0.$$

Thus  $\bar{f}^{\bar{h}_0}|_{X'} = \text{id}_{X'}$ .

( $\Rightarrow$ ) Let  $f = F|_{M^*}$ . Then  $f = \bar{f}^{\bar{h}_0}|_{M^*} \in \text{Aut}(M^*/M')$ . By assumptions

$$h_0 \cdot x_0 = F(h_0 \cdot x_0) = F(h_0) \cdot F(x_0) = f(h_0) \cdot F(x_0),$$

and then  $F(x_0) = f(h_0^{-1})h_0 \cdot x_0$ . By (1),  $F = \overline{h_0^{-1}f(h_0)} \circ \bar{f} = \overline{h_0^{-1}} \circ \bar{f} \circ \overline{h_0} = \bar{f}^{\bar{h}_0}$ .

(3) It suffices to prove the first equality.  $\subseteq$ : From (2) we conclude that for every  $F \in \text{Autf}_L(N^*)$  there are  $h_1, \dots, h_n \in G^*$  and  $f_1, \dots, f_n \in \text{Autf}_L(M^*)$  such that  $F = \overline{f_1^{h_1}} \circ \dots \circ \overline{f_n^{h_n}}$ . Then

$$F = \overline{h_1^{-1}f_1(h_1)} \circ \overline{f_1} \circ \overline{h_2^{-1}f_2(h_2)} \circ \overline{f_2} \circ \dots \circ \overline{h_n^{-1}f_n(h_n)} \circ \overline{f_n}.$$

Using the rule  $\bar{f} \circ \bar{g} = \overline{f(g)} \circ \bar{f}$ , one can prove that  $F = \bar{g} \circ \overline{f_1 \dots f_n}$ , for some  $g \in G_L$  (for example  $\overline{f_1} \circ \overline{h_2^{-1}f_2(h_2)} = \overline{f_1(h_2^{-1})f_1(f_2(h_2))} \circ \overline{f_1}$ , and  $f_1(h_2^{-1})f_1(f_2(h_2)) = f_1(h_2)^{-1}f_2^{f_1^{-1}}(f_1(h_2)) \in X_L$ ).

$\supseteq$ : It is clear that  $\text{Autf}_L(N^*) \supseteq \text{Autf}_L(M^*)$  (use (2)). It is enough to prove that  $\text{Autf}_L(N^*) \supseteq X_L$ . Assume that small tuples  $a, b$  satisfy  $b = f(a)$ , for some  $f \in \text{Autf}_L(M^*)$ . We have to prove that  $\overline{a^{-1}b} \in \text{Autf}_L(N^*)$ . Since  $\bar{f}^{\bar{a}} \in \text{Autf}_L(N^*)$ , we have  $\overline{a^{-1}b} = \overline{a^{-1}f(a)} = \bar{f}^{\bar{a}} \circ \overline{f^{-1}} \in \text{Autf}_L(N^*)$ .  $\square$

Now we characterize some invariant subgroups of  $G^*$ :  $G_\emptyset^0, G_\emptyset^{00}$  and  $G_\emptyset^\infty$ , in terms of  $N^*$ .

- Proposition 3.4.** (1)  $G_L^* = G^* \cap \text{Autf}_L(N^*)$  and  $G_L^*$  is the smallest  $\emptyset$ -invariant subgroup of  $G$  with bounded index in  $G^*$  (i.e.  $G_L^* = G_\emptyset^\infty$ ).
- (2) Let  $G'_{KP} = G^* \cap \text{Autf}_{KP}(N^*)$ , then  $G_{KP}^* \subseteq G'_{KP}$  and  $G'_{KP}$  is the smallest  $\wedge$ -definable over  $\emptyset$  subgroup with bounded index in  $G^*$  (i.e.  $G'_{KP} = G_\emptyset^{00}$ ).
- (3) Let  $G'_{Sh} = G^* \cap \text{Autf}_{Sh}(N^*)$ , then  $G_{Sh}^* \subseteq G'_{Sh}$  and  $G'_{Sh}$  is the intersection of all  $\emptyset$ -definable subgroups of  $G^*$  with finite index (i.e.  $G'_{Sh} = G_\emptyset^0$ ).

*Proof.* (1) The first equality follows directly from Proposition 3.3(3). Let  $H < G^*$  be  $\emptyset$ -invariant with bounded index. It suffices to prove that  $X_\emptyset \subseteq H$ . Take an order indiscernible sequence  $(a_n)_{n < \omega}$  (so  $\Theta(a_0, a_1)$ ). If  $a_0^{-1}a_1 \notin H$ , then for every  $i < j < \omega$ ,  $a_i^{-1}a_j \notin H$ , but we can extend an indiscernible sequence as much as we want, so the index  $[G^* : H]$  is unboundedly large, a contradiction.

(2) If  $N' \prec N^*$  is an arbitrary small model, then

$$G'_{KP} = \{g \in G^* : E_{KP}(N', \bar{g}(N'))\}.$$

Inclusion  $\subseteq$  is obvious.  $\supseteq$ : If  $E_{KP}(N', \bar{g}(N'))$ , then  $\bar{g}(N') = F(N')$  for some  $F \in \text{Autf}_{KP}(N^*)$ . Since  $\bar{g}|_{M^*} = \text{id}_{M^*}$ ,  $F \in G^*$ , and  $\bar{g}F^{-1} \in \text{Autf}_L(N^*)$ , so  $\bar{g} \in G'_{KP}$ .

$G'_{KP}$  has bounded index ( $G_L^* \subseteq G'_{KP}$ ) and is  $\wedge$ -definable over  $N'x_0$ . In fact  $G'_{KP}$  is  $\emptyset$ -invariant. To see this let  $F = \bar{g}' \circ \bar{f}' \in \text{Aut}(N^*)$ . Then

$$F[G'_{KP}] = \{F(g) : E_{KP}(N', \bar{g}(N'))\} = \{F(g) : E_{KP}(F(N'), F(\bar{g}(N')))\},$$

but  $F \circ \bar{g} = \bar{g}' \circ \bar{f}' \circ \bar{g} = \overline{g'f'(g)g'^{-1}} \circ F$ , thus

$$\begin{aligned} F[G'_{KP}] &= \{f'(g) : E_{KP}(F(N'), \overline{g' \circ f'(g)g'^{-1}}(F(N')))\} \\ &= \{f'(g) : E_{KP}(\overline{g'^{-1}F(N')}, \overline{f'(g)(g'^{-1}F(N'))})\} = G'_{KP}, \end{aligned}$$

and hence  $G'_{KP}$  is  $\wedge$ -definable over  $\emptyset$ . The relation  $E(x, y) = x^{-1}y \in G'_{KP}$  is bounded  $\wedge$ -definable over  $\emptyset$ , therefore  $E_{KP}|_{G^*} \subseteq E$  and  $G_{KP}^* \subseteq G'_{KP}$ . Take  $H < G^*$ , another subgroup which is  $\wedge$ -definable over  $\emptyset$  and has bounded index in  $G^*$ . Then  $E_{KP} \subseteq E_H$ , so for  $g \in G'_{KP}$  we have  $E_H(x_0, \bar{g}(x_0))$  and then  $g^{-1} \cdot x_0 = \bar{g}(x_0) = \bar{h}(x_0) = h^{-1} \cdot x_0$  for some  $h \in H$ . By regularity of  $\cdot$  we obtain  $g = h \in H$ .

(3) As in (2) it can be proved that  $G'_{Sh}$  is  $\wedge$ -definable over  $\emptyset$ . Let  $g \in G'_{Sh}$ , and  $H < G^*$  be a  $\emptyset$ -definable subgroup with finite index in  $G^*$ . We show that  $g \in H$ . Consider the relation  $E(x, y) = (\exists h \in H)(x = h \cdot y)$  on  $X^*$ .  $E$  is a  $\emptyset$ -invariant, finite equivalence relation on  $X^*$ , thus  $E_{Sh}|_{X^*} \subseteq E$ . By regularity of  $\cdot$  we conclude that  $g \in H$ . If we consider  $E(x, y) = x^{-1}y \in H$  on  $G^*$ , then  $E_{Sh}|_{G^*} \subseteq E$  and therefore  $G_{Sh}^* \subseteq H$ .

Let  $g$  belong to all  $\emptyset$ -definable subgroups of  $G^*$  of finite index. We prove that  $\bar{g} \in \text{Autf}_{Sh}(N^*)$ . From Proposition 2.4 we know that  $\text{Autf}_{Sh}(N^*)$  is the preimage under the quotient map  $j$  of the quasi-connected component  $\text{QC}$  of  $\text{Gal}_L(\text{Th}(N))$ . Let  $H \triangleleft \text{Gal}_L(\text{Th}(N))$  be an open subgroup. It suffices to show that  $\bar{g} \in j^{-1}[H] \triangleleft \text{Aut}(N^*)$ . Note that the group  $H' = j^{-1}[H] \cap G^*$  is  $\emptyset$ -invariant, because for  $f \in \text{Aut}(M^*)$  if  $\bar{g} \in j^{-1}[H]$ , then  $\overline{f(g)} = \overline{g^{f^{-1}}} \in j^{-1}[H]$ .  $H'$  is also definable, because by Lemma 2.1(iv)(b),  $j^{-1}[H] = \text{Aut}(\mathfrak{C}/\overline{m}/F)$ , so  $g \in H'$  if and only if  $F(\overline{m}, \bar{g}(\overline{m}))$ . Hence  $H'$  is a  $\emptyset$ -definable subgroup of  $G^*$  of finite index and thus  $\bar{g} \in j^{-1}[H]$ .  $\square$

The compact topological group  $\text{Gal}_L(\text{Th}(N^*))$  contains as a subgroup the group  $G^*/G_L^*$ , so we may ask about the induced topology on  $G^*/G_L^*$ . The next proposition describes this topology.

- Proposition 3.5.** (1) *The induced subspace topology on  $G^*/G_L^*$  from  $\text{Gal}_L(\text{Th}(N))$  is precisely the logic topology: let  $i : G^* \rightarrow G^*/G_L^*$  be the quotient map, then  $X \subseteq G^*/G_L^*$  is closed if and only if its preimage  $i^{-1}[X] \subseteq G^*$  is  $\wedge$ -definable over some (equivalently every) small model. With this topology  $G^*/G_L^*$  is a compact topological group (this topology is Hausdorff if and only if  $G_L^*$  is  $\wedge$ -definable).*
- (2) *The topology of  $\text{Gal}_L(\text{Th}(M))$  as the Lascar group of  $\text{Th}(M)$  and the induced topology on  $\text{Gal}_L(\text{Th}(M))$  as a subspace of  $\text{Gal}_L(\text{Th}(N))$  coincide.*
- (3) *If  $X \subseteq G^*/G_L^*$  and  $Y \subseteq \text{Gal}_L(\text{Th}(M))$  are closed, then  $X \cdot Y \subseteq \text{Gal}_L(\text{Th}(N))$  is also closed. In particular, if  $\text{Th}(M)$  is  $G$ -compact, then  $G^*/G_L^*$  is closed subgroup of  $\text{Gal}_L(\text{Th}(N))$ .*
- (4) *The closure of identity in  $G^*/G_L^*$  is  $G'_{KP}/G_L^*$ .*
- (5) *The quasi-connected component (the intersection of all open subgroups) of  $G^*/G_L^*$  is  $G'_{Sh}/G_L^*$ .*

*Proof.* (1) Let  $N'$  be a small model. Without loss of generality we may assume that  $x_0 \in N'$ . The restriction of the quotient map  $j$  to  $G^*$  is precisely the quotient map  $i$ .

We have the following commutative diagram:

$$\begin{array}{ccc} G^* & \xrightarrow{i} & G^*/G_L^* \\ \downarrow \subseteq & & \downarrow \subseteq \\ \text{Aut}(N^*) & \xrightarrow{j} & \text{Gal}_L(\text{Th}(N)) \end{array}$$

Let  $X \subseteq G^*/G_L^*$  be closed in the induced subspace topology, i.e.  $X = G^*/G_L^* \cap C$ , where  $C \subseteq \text{Gal}_L(\text{Th}(N))$  is closed. Then  $\nu^{-1}[C]$  is closed in  $S_{N'}(N')$ , so there exists a type  $\Phi(x, y)$  over  $\emptyset$  for which

$$\nu^{-1}[C] = \mu[j^{-1}[C]] = \{\text{tp}(F(N')/N') : F \in j^{-1}[C]\} = \{\text{tp}(N''/N') : \Phi(N'', N')\}.$$

The subset  $i^{-1}[X] \subseteq G^*$  is  $\wedge$ -definable over  $N'$ , because for  $g \in G^*$

$$g \in i^{-1}[X] \Leftrightarrow \bar{g} \in j^{-1}[C] \Leftrightarrow \Phi(\bar{g}(N'), N').$$

The implication  $\Leftarrow$  in the last equivalence holds, because if  $\Phi(\bar{g}(N'), N')$ , then  $\bar{g}(N') = F(N')$  for some  $F \in j^{-1}[C]$ , and thus  $j(\bar{g}) = j(F) \in C$ .

Now assume that  $i^{-1}[X]$  is  $\wedge$ -definable over  $N'$ , i.e. for  $g \in G^*$ ,  $g \in i^{-1}[X]$  if and only if  $\Psi(g, N')$ , for some type  $\Psi$ . Let  $C = X \cdot \text{Gal}_L(\text{Th}(M)) \subseteq \text{Gal}_L(\text{Th}(N))$ . Then

$$X = G^*/G_L^* \cap C.$$

In order to prove that  $C$  is closed in  $\text{Gal}_L(\text{Th}(N))$  it is enough to show that

$$\begin{aligned} \nu^{-1}[C] &= \{\text{tp}(F(N')/N') : F \in j^{-1}[C]\} \\ &= \{\text{tp}(N''/N') : x_0^{N''} = g^{-1} \cdot x_0, \Psi(g, N') \text{ holds and } \text{tp}(N'') = \text{tp}(N')\}. \end{aligned}$$

The last equality holds because  $j^{-1}[C] = i^{-1}[X] \circ \text{Aut}(M^*)$ , and if  $F = \bar{g} \circ \bar{f}$ ,  $g \in i^{-1}[X]$ , then  $x_0^{N''} = F(x_0) = \bar{g} \circ \bar{f}(x_0) = g^{-1} \cdot x_0$  (here  $N'' = F(N')$ ).

(2) The proof is similar to the proof in (1) and we leave it to the reader.

(3) The set  $\nu^{-1}[X \cdot Y] = \mu[j^{-1}[X \cdot Y]]$  is closed in  $S_{N'}(N')$  because it is equal to the following

$$\begin{aligned} &\{\text{tp}(\bar{g} \circ \bar{f}(N')/N') : g \in i^{-1}[X], f \in j^{-1}[Y]\} = \\ &\{\text{tp}(N''/N') : \text{tp}(M''/M') \in \nu^{-1}[Y], x_0^{N''} = g^{-1} \cdot x_0, g \in i^{-1}[X] \text{ and } \text{tp}(N'') = \text{tp}(N')\}. \end{aligned}$$

Above we use the fact that  $j^{-1}[X \cdot Y] = i^{-1}[X] \circ \nu^{-1}[Y]$ .

(4)  $G'_{KP}/G_L^*$  contains  $\text{cl}(\text{id})$ , because  $G'_{KP}$  is  $\wedge$ -definable over  $\emptyset$ . The subgroup  $i^{-1}[\text{cl}(\text{id})]$  of  $G^*$  is  $\wedge$ -definable over  $\emptyset$  and of bounded index (because  $G_L^* \subseteq i^{-1}[\text{cl}(\text{id})]$ ), thus  $G'_{KP} \subseteq i^{-1}[\text{cl}(\text{id})]$ .

(5) The group  $G'_{Sh}$  is the intersection of all  $\emptyset$ -definable subgroups of  $G^*$  of finite index, thus  $G'_{Sh}/G_L^*$  contains quasi-connected component QC (because if  $H < G^*$  is  $\emptyset$ -definable of finite index, then  $H/G_L^*$  is closed of finite index, hence open). Let  $H$  be an arbitrary open subgroup of  $G^*/G_L^*$ . It suffices to show that  $G'_{Sh}/G_L^* \subseteq H$ . The group  $H$  is closed of finite index, hence  $H \cdot \text{Gal}_L(\text{Th}(M))$  is a closed subgroup of  $\text{Gal}_L(\text{Th}(N))$  of finite index. Therefore

$$\text{Aut}_{\text{Sh}}(N^*) \subseteq j^{-1}[H \cdot \text{Gal}_L(\text{Th}(M))],$$

and then  $G'_{Sh} \subseteq i^{-1}[H]$ . This gives  $G'_{Sh}/G_L^* \subseteq H$ .  $\square$

The next corollary motivates us to investigate  $\wedge$ -definability of  $G_L^*$ . We do this in the next section. If  $G_L^*$  is not  $\wedge$ -definable, then  $N$  may give us a new kind of not  $G$ -compact theory.

**Corollary 3.6.** *Th(N) is G-compact if and only if Th(M) is G-compact and  $G_L^*$  is  $\wedge$ -definable.*

*Proof.* The topological group  $G$  is Hausdorff if and only if  $\{e_G\}$  is closed and we can apply the previous proposition.  $\square$

Now we describe the relations  $\Theta$ ,  $E_L$ ,  $E_{KP}$  and  $E_{Sh}$  on the sort  $X^*$  in terms of orbits of the groups  $G_L^*$ ,  $G'_{KP}$  and  $G'_{Sh}$  from Proposition 3.3.

**Lemma 3.7.** *Let  $x \in X^*$  and  $n < \omega$ .*

- (1)  $\{y \in X^* : \Theta^n(x, y)\} = X_\Theta^n \cdot x$
- (2)  $x/E_L = G_L^* \cdot x$
- (3)  $x/E_{KP} = G'_{KP} \cdot x$
- (4)  $x/E_{Sh} = G'_{Sh} \cdot x$

*Proof.* (1) It is enough to prove this for  $n = 1$ .  $\subseteq$ : Assume  $x, y \in X^*$ ,  $\Theta(x, y)$  and  $y = g_0x$  for some  $g_0 \in G^*$ . We may assume that  $x = x_0$ . We can extend  $(x_0, g_0x_0)$  to an order indiscernible sequence  $(x_0, g_0x_0, g_1x_0, \dots) \subseteq X^*$ . Then for  $0 \leq i_1 < \dots < i_n < \omega$ ,  $0 \leq j_1 < \dots < j_n < \omega$ :

$$(x_0, g_{i_1}x_0, g_{i_2}x_0, \dots) \equiv (g_{j_1}x_0, g_{j_2}x_0, g_{j_3}x_0, \dots).$$

Applying the automorphism  $\overline{g_{j_1}}$  we obtain:

$$(g_{j_1}x_0, g_{j_2}x_0, g_{j_3}x_0, \dots) \equiv (x_0, g_{j_2}g_{j_1}^{-1}x_0, g_{j_3}g_{j_1}^{-1}x_0, \dots).$$

Hence from the previous two equivalences we get

$$(g_{i_1}x_0, g_{i_2}x_0, \dots) \equiv_{x_0} (g_{j_2}g_{j_1}^{-1}x_0, g_{j_3}g_{j_1}^{-1}x_0, \dots),$$

so

$$(g_{i_1}, g_{i_2}, \dots) \equiv (g_{j_2}g_{j_1}^{-1}, g_{j_3}g_{j_1}^{-1}, \dots).$$

It means that  $(g_0, g_1, \dots) \subseteq G^*$  is also order indiscernible and  $g_0 \equiv g_0g_1^{-1}$ , so  $g_0 \in X_\Theta$ .

$\supseteq$ : Let  $y = gx_0$  for  $g = ab^{-1} \in X_\Theta$ , where  $\Theta(a, b)$ . We can find an indiscernible sequence  $(b, gb, \dots) \subseteq G^*$ , and then  $(bx_0, gbx_0, \dots) \subseteq X^*$  is also indiscernible, so  $\Theta(bx_0, gbx_0)$ . Applying  $\overline{b}$ , we obtain  $\Theta(x_0, gx_0)$ .

(2) Inclusion  $\supseteq$  follows from Proposition 3.3(3).  $\subseteq$ : Let  $y = F(x)$  for some  $F = \overline{g} \circ \overline{f} \in \text{Aut}_L(N^*)$ . We may assume that  $x = x_0$ . Then  $y = \overline{f}(x_0) = \overline{g}x_0 = g^{-1}x_0$  and  $g \in G_L$ .

(3)  $\supseteq$  follows from Proposition 3.4(2). Since  $E_{KP}|_{X^*} \subseteq E_{G'_{KP}}|_{X^*}$  we have  $\subseteq$ .

(4)  $\supseteq$  follows from Proposition 3.4(3).  $\subseteq$ : We know that  $G'_{Sh} = \bigcap_{i \in I} H_i$ , where  $H_i$  is  $\emptyset$ -definable with finite index. Therefore  $E_{G'_{Sh}}|_{X^*} = \bigcap_{i \in I} E_{H_i}|_{X^*}$ , so  $E_{Sh}|_{X^*} \subseteq E_{G'_{Sh}}|_{X^*}$  and we are done.  $\square$

Using Theorems 1.1 and 3.1 from [7] we can give a detailed analysis of Lascar and Kim-Pillay strong types on  $X^*$ . This analysis describes also some basic properties of the group  $G$ . By  $\text{diam}(a)$  we denote the diameter of the Lascar strong type  $a/E_L$  (see [7]). Note that every two elements of  $X^*$  have the same type over  $\emptyset$ , thus their Lascar strong types have the same diameter.

**Remark 3.8.** There are only two possibilities:

Case 1 The diameters of all Lascar strong types on  $X^*$  are infinite. The group  $G_L^*$  is not  $\wedge$ -definable,  $E_L \subsetneq E_{KP}$ ,  $G_L^* \subsetneq G'_{KP}$  (i.e.  $\text{Th}(N)$  is not  $G$ -compact) and  $2^{\aleph_0} \leq [G^* : G_L^*] = |X^*/E_L| \leq 2^{|T|}$ .

Case 2 There is  $n < \omega$  such that for every  $x \in X^*$ ,  $\text{diam}(x) = n$ . Then  $E_L|_{X^*} = E_{KP}|_{X^*} = \Theta^n|_{X^*}$  and  $G_L^* = X_\Theta^n = G'_{KP}$  are  $\wedge$ -definable groups.

**Lemma 3.9.** (1) *Either  $G'_{KP} = G_L^*$ , or  $[G'_{KP} : G_L^*] \geq 2^{\aleph_0}$ .*

(2) *If the language of the structure  $M$  is countable, then either*

$$G'_{Sh} = G'_{KP} \text{ or } [G'_{Sh} : G'_{KP}] \geq 2^{\aleph_0}.$$

*In the last case the space of  $\emptyset$ -types  $S_G(\emptyset)$  of  $G$  is of power  $2^{\aleph_0}$ .*

*Proof.* (1) follows from preceding remark, Lemma 3.7 and [7, Theorem 1.1].

(2) The proof is very similar to the proof of [4, Theorem 3.5], so we are brief. Consider the group  $H = G^*/G'_{KP}$ . This group with the logic topology is a compact Hausdorff topological group. Since the language is countable,  $H$  is metrizable. Let  $d_0$  be a metric on  $H$ . Modifying  $d_0$  as in [4] we obtain an equivalent metric  $d$ , which is  $\emptyset$ -invariant. Since  $H$  is Hausdorff, the connected component of  $H$  is equal to the quasi-connected component QC, and by Proposition 3.5(5)

$$\text{QC} = G'_{Sh}/G'_{KP}.$$

Assume that  $G'_{Sh} \neq G'_{KP}$  and take  $g \in G'_{Sh} \setminus G'_{KP}$ . Let  $r = d(e/G'_{KP}, g/G'_{KP})$ . For every  $\delta$  with  $0 < \delta < r$  there is  $g_\delta \in G'_{Sh}$  such that  $d(e/G'_{KP}, g_\delta/G'_{KP}) = \delta$  (because  $G'_{Sh}/G'_{KP}$  is connected). The metric  $d$  is  $\emptyset$ -invariant, hence for  $\delta < \delta'$ ,

$$\text{tp}(g_\delta) \neq \text{tp}(g_{\delta'}) \text{ and } d(g_{\delta'}/G'_{KP}, g_\delta/G'_{KP}) \geq \delta' - \delta > 0.$$

Therefore the power of  $S_G(\emptyset)$  is  $2^{\aleph_0}$  and  $g_{\delta'}g_\delta^{-1} \notin G'_{KP}$ , hence  $[G'_{Sh} : G'_{KP}] = 2^{\aleph_0}$ .  $\square$

#### 4. $\wedge$ -DEFINABILITY IN $G$

In this section we investigate  $\wedge$ -definability of  $G_L^*$  in several special cases.

**Proposition 4.1.** *If the theory of  $M$  is small, then  $G_L^* = G'_{KP} = G'_{Sh}$ . Hence  $G_L^*$  is  $\wedge$ -definable.*

*Proof.* Equality  $G_L^* = G'_{KP}$  follows from [7, Theorem 3.1(2)]. Equality  $G'_{KP} = G'_{Sh}$  follows from Lemma 3.9.  $\square$

**Proposition 4.2.** *If the theory of  $M$  is simple, then the theory of  $N$  is also simple and  $G_L^* = X_\Theta^2 = G'_{KP}$ .*

*Proof.* If  $\text{Th}(M)$  is simple, then  $\text{Th}(N)$  is also simple, because the structure  $N' = (M, G, \cdot)$  (where  $\cdot : G \times G \rightarrow G$  is the group action) is definable in  $M$ . Thus  $N'$  is simple, and  $N$  is obtained from  $N'$  by forgetting some structure. Therefore  $\text{Th}(N)$  is also simple. In every simple structure  $E_L = E_{KP} = \Theta^2$ , so  $G_L^* = X_\Theta^2$  follows from Lemma 3.7.  $\square$

Now we give a criterion for equality  $G'_{KP} = G'_{Sh}$ , when the theory of  $M$  is simple. If in this case  $G'_{KP} \subsetneq G'_{Sh}$ , then it gives us a solution of an open problem: there exist an example of a structure with simple theory and in which Kim-Pillay and Shelah strong types are different (see Lemma 3.7). To state this criterion we need one definition. We call a subset  $P \subseteq G^*$  *thick* if  $P$  is symmetric ( $P = P^{-1}$ ) and there exist a natural number  $n < \omega$  such that for any sequence  $g_0, \dots, g_{n-1} \in G$  there exist  $i < j < n$  such that

$$g_i^{-1} \cdot g_j \in P.$$

When  $\varphi(x, y)$  is a thick formula (see Definition 1.1) then  $X_\varphi$  (see Definition 3.1) is thick set. On the other hand if  $P$  is definable thick set, then the formula  $\varphi_P(x, y) = x^{-1} \cdot y \in P$  is also thick and  $P = X_{\varphi_P}$ . It is easy to see that for every  $n < \omega$  we have

$$X_\Theta^n = \bigcap \{X_\varphi^n : \varphi \in L \text{ is thick}\}.$$

**Lemma 4.3.** *If  $M$  has a simple theory, then  $E_{KP}|_{X^*} \subsetneq E_{Sh}|_{X^*}$  (i.e.  $G'_{KP} \subsetneq G'_{Sh}$ ) if and only if there exists a  $\emptyset$ -definable thick set  $P$  such that*

$$G'_{Sh} \not\subseteq P^2,$$

i.e.  $P^2$  does not contain any  $\emptyset$ -definable subgroup of  $G$  of finite index (see Proposition 3.4(3)).

*Proof.* If every thick  $P$  satisfies  $G'_{Sh} \subseteq P^2$ , then clearly  $G'_{Sh} \subseteq X_\Theta^2 = G_L^* = G'_{KP}$ , so  $G'_{Sh} = G'_{KP}$ .

If  $G'_{Sh} = G'_{KP}$ , then from 4.2 we have that  $G'_{Sh} = X_\Theta^2 = \bigcap \{P^2 : P \text{ is thick}\}$ . Thus every thick  $P$  satisfies  $G'_{Sh} \subseteq P^2$ .  $\square$

**Example 4.4.** There is an example of an abelian group  $(G, \cdot, \dots)$  which has a simple  $\omega$ -categorical theory and satisfies  $X_\Theta \subsetneq X_\Theta^2 = G^*$  (Example 6.1.10 in [2], private communication by E. Hrushovski). Consider a countable infinite dimensional vector space  $V$  over  $\mathbb{F}_2 = \{0, 1\}$ . Let  $\mathcal{B} = \{b_i : i < \omega\}$  be its basis and  $Q : V \rightarrow \mathbb{F}_2$  be the following degenerate orthogonal form with the induced scalar product  $(\cdot, \cdot)$ :

$$Q\left(\sum_i \lambda_i b_i\right) = \lambda_0^2 + \lambda_1 \lambda_2 + \lambda_3 \lambda_4 + \dots, \quad (a, b) = Q(a + b) - Q(a) - Q(b), \quad a, b \in V.$$

$Q$  is degenerate, because its radical  $K = \{v \in V : (v, \cdot) \equiv 0\} = \{0, b_0\}$  is nontrivial. The structure  $\mathcal{G} = (V, +, Q)$  has simple  $\omega$ -categorical theory. We show that

$$X_\Theta \subseteq V \setminus \{b_0\}.$$

If  $\Theta(v, w)$ , then  $Q(v) = Q(w)$ . Assume on the contrary that  $v - w = b_0$ , then  $v = w + b_0$ , so:

$$Q(w) = Q(v) = Q(w + b_0) = Q(w) + Q(b_0) + (w, b_0) = Q(w) + 1,$$

and we reach a contradiction.

There are only 4 types over  $\emptyset$ :  $\text{tp}(0), \text{tp}(b_0), p(x), q(x)$ , where  $p, q$  are types of elements  $v, w \neq 0, b_0$  with  $Q(v) = 0, Q(w) = 1$  respectively. The sets  $X_\Theta, X_\Theta^2$  are  $\emptyset$ -invariant, so they must be a union of some sets described by above types. Consider  $V_0 = \text{lin}(b_0, b_k : k \geq 5) \prec V$ . It is easy to see that

$$(b_1, b_4)_{b_2 b_3 V_0} \equiv (b_1 + b_3, b_4 + b_2), \quad (b_1, b_3)_{b_2 b_4 V_0} \equiv (b_1 + b_4, b_3 + b_2).$$

Thus by Lemma 1.2(ii)  $b_3 = (b_1 + b_3) - b_1 \in X_\Theta^2$  and  $Q(b_3) = 0$ . Also  $b_1 \equiv_{V_0} b_1 + b_4 + b_3 + b_2$ , so  $b_4 + b_3 + b_2 \in X_\Theta^2$  and  $Q(b_4 + b_3 + b_2) = 1$ . Therefore  $V \setminus \{0, b_0\} \subseteq X_\Theta^2$  and then by Proposition 4.2  $X_\Theta \subsetneq X_\Theta^2 = V$ .

The next proposition gives us  $\wedge$ -definability of  $G_L^*$  for some special groups definable in the  $o$ -minimal theories.

**Proposition 4.5.** (1) *If  $G$  is definably compact, definable in an  $o$ -minimal expansions of a real closed field, then  $G_L^* = X_\Theta^2 = G'_{KP} = G^{00}$ .*

- (2) If  $(G, <, +, \dots)$  is an  $o$ -minimal expansion of an ordered group  $(G, <, +)$ , then  $G^* = G_L^* = X_\Theta^2 = G^{00}$ .

*Proof.* (1) In [3] it is proved that under the above assumptions  $G$  has  $fsq$  and there exists  $G^{00}$  (the smallest definable subgroup of bounded index in  $G^*$ ). It is also proved that  $G^{00}$  is equal to

$$\text{Stab}(p) = \{g \in G^* : g \cdot q = q\},$$

for some (global) generic type  $p(x) \in S(G^*)$ . Since  $p$  is a type over the model  $G^*$ ,  $\text{Stab}(p) \subseteq X_\Theta^2$ . Therefore

$$G^{00} = \text{Stab}(p) \subseteq X_\Theta^2 \subseteq G_L^* \subseteq G'_{KP} = G_\emptyset^{00} = G^{00}.$$

- (2) By [8, Corollary 2.6] we can find a global type  $p(x) \in S(G^*)$ , satisfying  $\text{Stab}(p) = G^*$ . Therefore  $G^* = G_L^* = X_\Theta^2 = G^{00}$ .  $\square$

Case 1 from Remark 3.8 may lead us to a new example of a non- $G$ -compact theory.

There is a criterion for  $\wedge$ -definability of  $G_L^*$  [7, Theorem 3.1]:  $G_L^*$  is  $\wedge$ -definable if and only if  $G_L^* = X_\Theta^n$  for some  $n < \omega$ . Thus if  $X_\Theta$  generates a group in infinitely many steps, then  $G_L^*$  is not  $\wedge$ -definable and Case 1 holds.

We have some further partial results concerning  $\wedge$ -definability of  $G_L^*$ . These results involve generic subsets of  $G$  and measures on  $G$ . They will be a part of Ph.D. thesis of the first author and appear in a forthcoming paper.

## 5. MORE EXAMPLES

We were not able to construct an example of a group  $G$ , where  $G_L$  is not  $\wedge$ -definable. We can try at least to construct a group  $G$ , where  $G_E$  is not  $\wedge$ -definable for some equivalence relation  $E$  other than  $E_L$  (which gives rise to  $G_L$ ).

It is rather easy to find such examples even in the stable case, with the relation  $E$   $\wedge$ -definable and coarser than equality of types  $\equiv$ .

However even in the stable case we were not able to construct an example of  $G$  where  $G_\equiv$  is not  $\wedge$ -definable, although we conjecture such an example exists. In this case  $G_{S_h}^*$  equals  $G^0$ , and is type definable, and equals  $G_L$ .

Since we are interested in finding an example where  $G_L$  is not  $\wedge$ -definable, naturally we are interested in non- $\wedge$ -definable  $G_E$ , where  $E$  is close to  $E_L$ .

In this section we give only an example (Example 5.1), where  $G_\equiv$  is not  $\wedge$ -definable. We could not come closer to  $E_L$  than  $\equiv$ . We give also an example (Example 5.2) of a group  $G$  with non- $G$ -compact theory.

**Example 5.1.** In [6] there is an example (for every  $n < \omega$ ) of a finite group  $G_n$  in which commutators  $X_{\text{Inn}(G_n)}$  generate commutant  $G'_n = [G_n, G_n] = G_{\text{Inn}(G_n)}$  in precisely  $n$  steps. We expand the structure  $(G_n, \cdot)$  to obtain a structure  $\mathcal{G}_n$  satisfying

$$\text{Aut}(\mathcal{G}_n) = \text{Inn}(G_n),$$

i.e. every automorphism of  $\mathcal{G}_n$  is an inner automorphism of  $G_n$ . Note that in  $\mathcal{G}_n$  the set  $X_\equiv$  equals  $X_{\text{Inn}(G_n)}$  and generates a group in  $n$  steps. Consider the product  $\prod_{n < \omega} G_n$  of the groups  $G_n$ . We expand  $\prod_{n < \omega} G_n$  to a structure  $\mathcal{G}$  as follows. For each  $k$  let  $E_k$  be the equivalence relation on  $\prod_{n < \omega} G_n$  given by

$$E_k(u, v) \Leftrightarrow u(k) = v(k).$$

Then  $\prod_{n < \omega} G_n/E_k$  is naturally identified with  $G_k$ . We expand  $\prod_{n < \omega} G_n$  by the relations  $E_k$ ,  $k < \omega$ , and the  $\mathcal{G}_k$ -structure on  $G_k$  (identified with  $\prod_{n < \omega} \mathcal{G}_n/E_k$ ). We denote the quotient map

$$\prod_{n < \omega} G_n \rightarrow \prod_{n < \omega} G_n/E_k$$

by  $\pi_k$ .

Let  $\mathcal{G}^*$  be a large saturated extension of  $\mathcal{G}$ . We will prove that in  $\mathcal{G}^*$ , the group  $\mathcal{G}_{\equiv}^*$  is not  $\wedge$ -definable. This boils down to proving that

$$(*) \quad \pi_k[X_{\equiv}^{\mathcal{G}^*}] = X_{\equiv}^{\mathcal{G}_k}.$$

Indeed, suppose the above holds. Then, since  $X_{\equiv}^{\mathcal{G}_k}$  generates a group in  $\geq n$  steps, also  $X_{\equiv}^{\mathcal{G}^*}$  generates a group in  $\geq n$  steps. As  $n$  is arbitrary, we get that  $X_{\equiv}^{\mathcal{G}^*}$  generates the group  $\mathcal{G}_{\equiv}^*$  in infinitely many steps. By [7, Theorem 3.1(1)], the group  $\mathcal{G}_{\equiv}^*$  is not  $\wedge$ -definable.

Now we prove (\*).  $\subseteq$  is clear, since every automorphism of  $\mathcal{G}^*$  induces an automorphism of  $\mathcal{G}_k$ . To prove  $\supseteq$ , consider  $a, b \in \mathcal{G}_k$  with  $b = f(a)$  for some  $f \in \text{Aut}(\mathcal{G}_k)$ . We can extend  $f$  to an automorphism of  $\mathcal{G}$  and then to  $\mathcal{G}^*$ . If  $a = \pi_k(a')$  for  $a' \in \mathcal{G}^*$ , then

$$b = f(a) = \pi_k(f(a')),$$

and therefore  $\pi_k(a'^{-1}f(a')) = a^{-1}b$ .

Now we give an example of group  $G$  whose theory is not  $G$ -compact, but case 2 from Remark 3.8 holds.

**Example 5.2.** First we construct a group with a large finite diameter of Lascar strong types. Let  $\mathcal{M}_0 = (M_0, R, f)$  be a dense circular ordering (with respect to a ternary relation  $R$ ), equipped with a function  $f$ , which is a cyclic bijection of  $M_0$  respecting  $R$ , of period 3. This structure was considered in [1] to construct the first example of a non- $G$ -compact theory. Our group  $M_3$  will be the disjoint union of  $M_0$  and  $\{0\}$  equipped with a structure of vector space over  $\mathbb{F}_2$  (i.e.  $M_3$  will be an abelian group of exponent 2) so that the addition  $+$  on  $M_3$  is “independent” of  $f$  and  $R$ .

To be more specific, let  $L$  be the language consisting of a ternary relation symbol  $R$ , function symbols  $+$  (binary) and  $f$  (unary) and a constant 0. To express “independence” of  $f$  and  $+$  we define inductively a set of terms  $\mathcal{T}$  in  $L$  as follows.

**Definition 5.3.** Let  $\mathcal{T}$  be the smallest set of terms of  $L$  such that:

- (1)  $v, f(v), f^2(v)$  are in  $\mathcal{T}$  for every variable  $v$ .
- (2) If  $\tau_1, \dots, \tau_k$  ( $k \geq 2$ ) are distinct terms in  $\mathcal{T}$ , then the terms  $f(\tau_1 + \dots + \tau_k)$  and  $f^2(\tau_1 + \dots + \tau_k)$  are in  $\mathcal{T}$ .

Let  $\mathcal{T}(\bar{x})$  be the set of terms in  $\mathcal{T}$  in variables  $\bar{x}$ .

$+$  will be interpreted as an associative operation, so we may omit parentheses in  $\tau_1 + \dots + \tau_k$  in condition (2) in Definition 5.3.  $f$  will be interpreted as a cyclic function of period 3, so in Definition 5.3 there is no need to consider  $f^k$  for  $k \geq 3$ .

**Definition 5.4.** Let  $\mathcal{C}$  be the class of  $L$ -structures  $(V, +, 0, R, f)$  such that:

- (1)  $(V, +, 0)$  is a vector space over  $\mathbb{F}_2$ , of infinite dimension.
- (2)  $R$  is a circular order on the set  $V^* = V \setminus \{0\}$ .



- (3)  $f$  is a cyclic bijection of  $V^*$  of period 3, respecting  $R$ . That is, every point of  $V^*$  is a cyclic point of  $f$  of period 3 and  $R(x, y, z)$  implies  $R(f(x), f(y), f(z))$ . Also,  $R(x, f(x), f^2(x))$  holds in  $V^*$ .
- (4) For every  $a \in V^*$ , the set  $\mathcal{T}(a) = \{\tau(a) : \tau \in \mathcal{T}\}$  is linearly independent.

Condition (4) in Definition 5.4 expresses the fact that in the structures in  $\mathcal{C}$   $f$  is “independent” of the vector space structure.

$\mathcal{C}$  is an elementary class with the joint embedding and amalgamation properties. Let  $T_3$  be the model completion of  $\text{Th}(\mathcal{C})$ .  $T_3$  has quantifier elimination and its models are the existentially closed structures in  $\mathcal{C}$ . We describe the 1-types in  $T_3$ .

Let  $\mathfrak{C}$  be a monster model of  $T_3$ . Let  $a \in \mathfrak{C}^* = \mathfrak{C} \setminus \{0\}$ . The type of  $a$  is determined by the way in which the linear span  $\text{lin}(\mathcal{T}(a))$  is circularly ordered by  $R$ , or even by the way in which the set  $\text{lin}(\mathcal{T}(a)) \cap (a, f(a))$  is linearly ordered by  $R$ . Here for  $a \neq b \in \mathfrak{C}^*$

$$(a, b) = \{c \in \mathfrak{C}^* : R(a, c, b)\}, \quad [a, b] = \{a\} \cup (a, b).$$

$R$  induces on  $(a, b)$  a linear ordering that we denote by  $<$ . So there are  $2^{\aleph_0}$  complete 1-types over  $\emptyset$  in  $T_3$ .

We say a few words about indiscernible sequences in  $\mathfrak{C}$ . First, if  $(a_n)_{n < \omega}$  is an infinite indiscernible sequence in  $\mathfrak{C}$ , then  $a_1 \in (a_0, f(a_0))$  or  $a_1 \in (f^2(a_0), a_0)$ .

Secondly, we point how to construct an indiscernible sequence in  $\mathfrak{C}$ . Assume  $p(x) = \text{tp}(a)$  for some  $a \in \mathfrak{C}^*$ . Let  $C^-(a), C^+(a)$  be a Dedekind cut in the set  $\text{lin}(\mathcal{T}(a)) \cap (a, f(a))$ . That is,  $C^-(a) < C^+(a)$  and  $C^-(a) \cup C^+(a) = \text{lin}(\mathcal{T}(a)) \cap (a, f(a))$ .

It follows that for every  $a'$  realising  $p$ , the corresponding sets  $C^-(a'), C^+(a')$  are a Dedekind cut in the set  $\text{lin}(\mathcal{T}(a')) \cap (a', f(a'))$  and also the sets  $f(C^-(a')), f(C^+(a'))$  and  $f^2(C^-(a')), f^2(C^+(a'))$  are Dedekind cuts in the sets  $\text{lin}(\mathcal{T}(a')) \cap (f(a'), f^2(a'))$  and  $\text{lin}(\mathcal{T}(a')) \cap (f^2(a'), a')$ , respectively.

We can find a sequence  $(a_n)_{n < \omega}$  of elements of  $\mathfrak{C}^*$  such that for every  $n > m$ ,

$$[a_n, f(a_n)] \subseteq (a_m, f(a_m)) \text{ and } C^-(a_m) < [a_n, f(a_n)] < C^+(a_m).$$

Using the Ramsey theorem we can find such a sequence that is moreover indiscernible. Using indiscernible sequences like that we see that  $p(x)$  is a strong Lascar type of diameter at least 3 and at most 6.

Similarly, replacing period 3 by period  $n$  ( $n \geq 3$ ), we construct a  $G$ -compact group  $\mathcal{M}_n = (M_n, +, 0, R_n, f_n)$  with the diameter of Lascar strong types  $\geq n$ .

Now let  $\mathcal{M} = \prod_{3 \leq n < \omega} \mathcal{M}_n$  be the product of the groups  $\mathcal{M}_n$ . Let  $E_n$  be the equivalence relation on  $\mathcal{M}$  given by  $E_n(x, y) \Leftrightarrow x(n) = y(n)$ . Then  $\mathcal{M}/E_n$  is naturally identified with  $\mathcal{M}_n$ .

We consider  $\mathcal{M}$  as a group expanded by the relations  $E_n$  and the relations  $R_n$  and functions  $f_n$  on  $\mathcal{M}/E_n$ . Hence in  $\mathcal{M}$  there is no finite bound on the diameter of Lascar strong types. By [7],  $\mathcal{M}$  is not  $G$ -compact.

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